



PATENT

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Christina L. Vann
Christina L. Vann

Applicant : Ari Engelberg, et al.
Application No. : 09/690,243
Filed : October 17, 2000
Title : METHOD AND APPARATUS FOR ON-LINE VALUE-BEARING ITEM
SYSTEM

Grp./Div. : 3621
Examiner : Firmin Backer

Docket No. : 36530/RRT/S850

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INFORMATION DISCLOSURE STATEMENT WITH FEE
UNDER 37 CFR §§ 1.97(c) AND 1.17(p)

Commissioner for Patents
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Alexandria, VA 22313-1450

Post Office Box 7068
Pasadena, CA 91109-7068
July 30, 2003

Commissioner:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the Manual of Patent Examining Procedure §§ 609 and 707.05(b), enclosed is FORM PTO/SB/08A/B listing the references that are known to applicant. Copies of each of the listed references are enclosed.

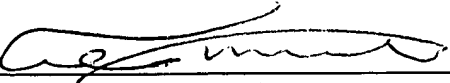
It is respectfully requested that the listed references be considered in the examination of this application and identified on the list of references cited on the patent issuing for this application. Applicant also requests that an initialed copy of FORM PTO/SB/08A/B be entered in the application file and returned to applicant with the next communication from the Office in accordance with MPEP § 609.

Enclosed is the processing fee of \$180 as required by 37 CFR § 1.17(p). The Commissioner is hereby authorized to charge any fees which may be required by this paper to Deposit Account No.

Application No. 09/690,243

03-1728. Please show our docket number with any Deposit Account transaction. A copy of this paper is enclosed.

Respectfully submitted,
CHRISTIE, PARKER & HALE, LLP

By 
Raymond R. Tabandeh
Reg. No. 43,945
626/795-9900

RRT/clv
Enclosures: Check \$180
Copy of IDS
Form PTO/SB/08A/B, w/references
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FORM PTO/SB/08A/B (10-01) Substitute for PTO-1449A/B INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)	Attorney Docket Number	36530/RRT/S850
	Application Number	09/690,243
	Filing Date	October 17, 2000
	Applicant(s)	Ari Engelberg, et al.
	Group Art Unit	3621
	Examiner Name	Firmin Backer

U.S. PATENT DOCUMENTS				
EXAMINER INITIALS	Cite No. ¹	DOCUMENT NUMBER Number - Kind Code ² (If Known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
		5,796,841	08-18-1998	CORDERY, et al.
		6,233,565 B1	05-15-2001	LEWIS, et al.
		6,341,274 B1	01-22-2002	LEON
		6,381,589 B1	04-30-2002	LEON
		6,424,954 B1	07-23-2002	LEON
		2001/0034716 A1	10-25-2001	GOODWIN
		2002/0023057 A1	02-21-2002	GOODWIN, et al.

FOREIGN PATENT DOCUMENTS				
EXAMINER INITIALS	Cite No. ¹	Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ⁵ (If Known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
				T ⁶ (✓)

OTHER DOCUMENTS		
EXAMINER INITIALS	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
		PASTOR, Jose; CRYPTOPOST™ - A Cryptographic Application to Mail Processing; Journal of Cryptology; 1991; 137-146pp.; Vol. 3; Number 2; International Association for Cryptologic Research
		The United States Postal Service (USPS) Engineering Center; INFORMATION BASED INDICIA PROGRAM (IBIP) INDICIUM SPECIFICATION; June 13, 1996; 22pp.
		The United States Postal Service (USPS); INFORMATION-BASED INDICIA PROGRAM (IBIP); PERFORMANCE CRITERIA FOR INFORMATION-BASED INDICIA AND SECURITY ARCHITECTURE FOR CLOSED IBI POSTAGE METERING SYSTEMS (PCIBI-C); January 12, 1999; 49pp.
		The United States Postal Service (USPS); INFORMATION-BASED INDICIA PROGRAM (IBIP); PERFORMANCE CRITERIA FOR INFORMATION-BASED INDICIA AND SECURITY ARCHITECTURE FOR OPEN IBI POSTAGE EVIDENCING SYSTEMS (PCIBI-O); June 25, 1999; 76pp.

EXAMINER SIGNATURE	DATE CONSIDERED
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.pto.gov or MPEP 901.4. ³ Enter Office that issued the document, by the two-letter code (WIPO standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English Language Translation is attached.	

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FORM PTO/SB/08A/B (10-01) Substitute for PTO-1449A/B INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)	Attorney Docket Number	36530/RRT/S850
	Application Number	09/690,243
	Filing Date	October 17, 2000
	Applicant(s)	Ari Engelberg, et al.
	Group Art Unit	3621
	Examiner Name	Firmin Backer

OTHER DOCUMENTS		
EXAMINER INITIALS	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
		TYGAR, J.D. and YEE, Bennet; Cryptography: It's Not Just For <i>Electronic</i> Mail Anymore; School of Computer Science; March 1, 1993; 1-21pp.; Carnegie Mellon University, Pittsburg, PA, USA
		TYGAR, J.D. and YEE, Bennet; Dyad: A System for Using Physically Secure Coprocessors; School of Computer Science; May 4, 1991; 1-36pp.; Carnegie Mellon University, Pittsburg, PA, USA
		U.S. Application No. 09/585,025 filed June 1, 2000, "Online Value Bearing Item Printing", 125pp.
		U.S. Application No. 09/688,451 filed October 16, 2000, " Auditing Method and System for an On-Line Value-Bearing Item Printing System, 105pp.
		U.S. Application No. 09/688,452 filed October 16, 2000, "Role Assignments in a Cryptographic Module for Secure Processing of Value-Bearing Items", 105pp.
		U.S. Application No. 09/688,456 filed October 16, 2000, "Cryptographic Module for Secure Processing of Value- Bearing Items", 109pp.
		U.S. Application No. 09/690,066 filed October 16, 2000, "Cryptographic Module for Secure Processing of Value-Bearing Items", 121pp.
		U.S. Application No. 09/690,083 filed October 16, 2000, "Cryptographic Module for Secure Processing of Value-Bearing Items", 109pp.
		U.S. Application No. 09/690,796 filed October 17, 2000, "Secure and Recoverable Database for On-Line Value-Bearing Item System", 71pp.
		U.S. Application No. 09/692,746 filed October 18, 2000, "Method and Apparatus for Digitally Signing an Advertisement Area Next to a Value-Bearing Item", 61pp.
		U.S. Application No. 09/692,829 filed October 18, 2000, "Postal System Intranet and Commerce Processing for On-Line Value-Bearing System", 179pp.
		U.S. Application No. 09/788,069 filed February 16, 2001, "On-Line Value-Bearing Indicium Printing Using DSA", 43pp.
		U.S. Application No. 10/083,236 filed February 26, 2002, "Secured Centralized Public Key Infrastructure", 101pp.

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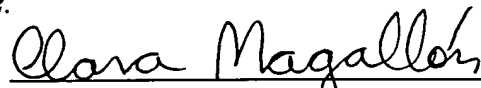
EXAMINER SIGNATURE	DATE CONSIDERED
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.pto.gov or MPEP 901.4. ³ Enter Office that issued the document, by the two-letter code (WIPO standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English Language Translation is attached.	

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Clara Magallón

Applicant : Ari Engelberg, et al.
Application No. : 09/690,243
Filed : October 17, 2000
Title : METHOD AND APPARATUS FOR ON-LINE
VALUE-BEARING ITEM SYSTEM
Grp./Div. : 2163
Examiner : To be assigned
Docket No. : 36530/RRT/S850

INFORMATION DISCLOSURE STATEMENT AND
CERTIFICATION UNDER § 1.97(e)(1)

Assistant Commissioner for Patents
Washington, D.C. 20231

Post Office Box 7068
Pasadena, CA 91109-7068
February 26, 2002

Commissioner:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the Manual of Patent Examining Procedure §§ 609 and 707.05(b), enclosed is FORM PTO-1449 with a listing of references that are known to applicants. Copies of each of the listed references are enclosed.

It is respectfully requested that these references be considered in the examination of this application and identified on the list of references cited on the patent issuing on this application. Applicants also request that an initialed copy of said FORM PTO-1449 be entered in the application file and returned to applicants with the next communication from the Office in accordance with MPEP § 609.

Applicants' undersigned attorney hereby certifies, in accordance with 37 CFR § 1.97(e)(1), that each item of information contained in the information disclosure statement was first cited

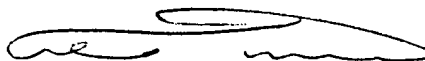
Application No.09/690,243

in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By



Raymond R. Tabandeh

Reg. No. 43,945

626/795-9900

RRT/cam

Enclosures: PTO 1449, w/reference (1)

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FORM PTO-1449 INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)	Attorney Docket Number	36530/RRT/S850
	Application Number	09/690,243
	Filing Date	October 17, 2000
	Applicant(s)	Ari Engelberg, et al.
	Group Art Unit	2163
	Examiner Name	To be assigned

U.S. PATENT DOCUMENTS						
EXAMINER INITIALS	DOCUMENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,812,991	09/1998	Kara	705	410	

FOREIGN PATENT DOCUMENTS							
EXAMINER INITIALS	DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

OTHER DOCUMENTS	
EXAMINER INITIALS	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.

EXAMINER SIGNATURE		DATE CONSIDERED	
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